# TOWARDS STRUCTURAL ANALYSIS OF AUDIO RECORDINGS IN THE PRESENCE OF MUSICAL VARIATIONS

Meinard Müller, Frank Kurth

Department of Computer Science III, University of Bonn Römerstr. 164, D-53117 Bonn, Germany {meinard, frank}@cs.uni-bonn.de

## ABSTRACT

One major goal of structural analysis of an audio recording is to automatically extract the repetitive structure or, more generally, the musical form of the underlying piece of music. Recent approaches to this problem work well for music where the repetitions largely agree with respect to instrumentation and tempo, as is typically the case for popular music. For other classes of music such as Western classical music, however, musically similar audio segments may exhibit significant variations in parameters such as dynamics, timbre, execution of note groups, modulation, articulation, and tempo progression. In this paper, we propose a robust and efficient algorithm for audio structure analysis, which allows to identify musically similar segments even in the presence of large variations in these parameters. To account for such variations, our main idea is to incorporate invariance at various levels simultaneously: we design a new type of statistical features to absorb micro-variations, introduce an enhanced local distance measure to account for local variations, and describe a new strategy for structure extraction that can cope with the global variations. Our experimental results with classical and popular music show that our algorithm performs successfully even in the presence of significant musical variations.

**Keywords and phrases:** repetitive structure, audio summarization, musical variations, similarity matrix enhancement, statistical chroma-based features, path extraction, segment clustering, classical music

# 1. INTRODUCTION

Content-based document analysis and efficient audio browsing in large music databases has become an important issue in music information retrieval. Here, the automatic annotation of audio data by descriptive high-level features as well as the automatic generation of cross-links between audio excerpts of similar musical content are of major concern. In this context, the subproblem of *audio structure analysis* or, more specifically, the automatic identification of musically relevant repeating patterns in some audio recording has been of considerable research interest, see, e.g., [2, 5, 6, 8, 12, 13, 15]. Here, the crucial point is the notion of *similarity* used to compare different audio segments, because such segments may be regarded as musically similar in spite of considerable variations in parameters such as dynamics, timbre, execution of note groups (e.g., grace notes, trills, arpeggios), modulation, articulation, or tempo progression. In this paper, we introduce a robust and efficient algorithm for the structural analysis of audio recordings, which can cope with significant variations in the parameters mentioned above including local tempo deformations. In particular, we introduce a new class of robust audio features as well as a new class of similarity measures that yield a high degree of invariance as needed to compare musically similar segments. As opposed to previous approaches, which mainly deal with popular music and assume constant tempo throughout the piece, we have applied our techniques to musically complex and versatile Western classical music. Before giving a more detailed overview of our contributions and the structure of this paper (Sect. 1.3), we summarize a general strategy for audio structure analysis and introduce some notation that is used throughout this paper (Sect. 1.1). Related work will be discussed in Sect. 1.2.

# 1.1. General Strategy and Notation

To extract the repetitive structure from audio signals, most of the existing approaches proceed in four steps. In the first step, a suitable high-level representation of the audio signal is computed. To this end, the audio signal is transformed into a sequence  $\vec{V} := (\vec{v}^1, \vec{v}^2, \ldots, \vec{v}^N)$  of feature vectors  $\vec{v}^n \in \mathcal{F}$ ,  $1 \leq n \leq N$ . Here,  $\mathcal{F}$  denotes a suitable feature space, e.g., a space of spectral, MFCC, or chroma vectors. Based on a suitable similarity measure  $d : \mathcal{F} \times \mathcal{F} \to \mathbb{R}_{\geq 0}$ , one then computes an *N*-square *self-similarity*<sup>1</sup> matrix  $\mathcal{S}$  defined by  $\mathcal{S}(n,m) := d(\vec{v}^n, \vec{v}^m)$ , effectively comparing all feature vectors  $\vec{v}^n$  and  $\vec{v}^m$  for  $1 \leq n, m \leq N$  in a pairwise fashion. In the third step, the path structure is extracted from the

<sup>&</sup>lt;sup>1</sup>In this paper, d is a distance measure rather than a similarity measure assuming small values for similar and large values for dissimilar feature vectors. Hence, the resulting matrix should strictly be called *distance matrix*. Nevertheless, we use the term *similarity matrix* according to the standard term used in previous work.





**Fig. 1**. Self-similarity matrix S[41, 10] of an Ormandy interpretation of Brahms' Hungarian Dance No. 5. Here, dark colors correspond to low values (high similarity) and light colors correspond to high values (low similarity). The musical form  $A_1A_2B_1B_2CA_3B_3B_4D$  is reflected by the path structure. For example, the curved path marked by the horizontal and vertical lines indicates the similarity between the segments corresponding to  $B_1$  and  $B_2$ .

resulting self-similarity matrix. Here, the underlying principle is that similar segments in the audio signal are revealed as paths along diagonals in the corresponding self-similarity matrix, where each such path corresponds to a pair of similar segments. Finally, in the fourth step, the global repetitive structure is derived from the information about pairs of similar segments using suitable clustering techniques.

To illustrate this approach, we consider two examples, which also serve as running examples throughout this paper. The first example, for short referred to as Brahms example, consists of an Ormandy interpretation of Brahms' Hungarian Dance No. 5. This piece has the the musical form  $A_1A_2B_1B_2CA_3B_3B_4D$  consisting of three repeating A-parts  $A_1$ ,  $A_2$  and  $A_3$ , four repeating B-parts  $B_1$ ,  $B_2$ ,  $B_3$ and  $B_4$ , as well as a C- and a D-part. Generally, we will denote musical parts of a piece of music by capital letters such as X, where all repetitions of X are enumerated as  $X_1, X_2$ , and so on. In the following, we will distinguish between a piece of music (in an abstract sense) and a particular audio recording (a concrete interpretation) of the piece. Here, the term *part* will be used in the context of the abstract music domain, whereas the term *segment* will be used for the audio domain.

The self-similarity matrix of the Brahms recording (with respect to suitable audio features and a particular similarity

**Fig. 2**. Self-similarity matrix S[41, 10] of an Chailly interpretation of Shostakovich's Waltz 2, Jazz Suite No. 2, having the musical form  $A_1A_2BC_1C_2A_3A_4D$ . Due to significant variations in the audio recording, the path structure is fragmented and of low quality. See also Fig. 6.

measure) is shown in Fig. 1. Here, the repetitions implied by the musical form are reflected by the path structure of the matrix. For example, the path starting at (1, 22) and ending at (22, 42) (measured in seconds) indicates that the audio segment represented by the the time interval [1:22] is similar to the segment [22:42]. Manual inspection reveals that the segment [1:22] corresponds to part  $A_1$ , whereas [22:42] corresponds to  $A_2$ . Furthermore, the curved path starting at (42,69) and ending at (69,89) indicates that the segment [42:69] (corresponding to  $B_1$ ) is similar to [69:89] (corresponding to  $B_2$ ). Note that in the Ormandy interpretation, the  $B_2$ -part is played much faster than the  $B_1$ -part. This fact is also revealed by the gradient of the path, which encodes the relative tempo difference between the two segments.

As a second example, for short referred to as *Shostakovich* example, we consider Shostakovich's Waltz 2 from his Jazz Suite No. 2 in a Chailly interpretation. This piece has the musical form  $A_1A_2BC_1C_2A_3A_4D$ , where the theme, represented by the A-part, appears four times. However, there are significant variations in the four A-parts concerning instrumentation, articulation, as well as dynamics. For example, in  $A_1$  the theme is played by a clarinet, in  $A_2$  by strings, in  $A_3$  by a trombone, and in  $A_4$  by the full orchestra. As is illustrated by Fig. 2, these variations result in a fragmented path structure of low quality, making it hard to identify the musically similar segments [4 : 40], [43 : 78], [145 : 179], and [182 : 217] corresponding to  $A_1$ ,  $A_2$ ,  $A_3$  and  $A_4$ , respectively.

# 1.2. Related Work

Most of the recent approaches to structural audio analysis focus on the detection of repeating patterns in popular music based on the strategy as described in Sect. 1.1. The concept of similarity matrices has been introduced to the music context by Foote in order to visualize the time structure of audio and music [7]. Based on these matrices, Foote and Cooper [5] report on first experiments on automatic audio summarization using mel-frequency cepstral coefficients (MFCCs). To allow for small variations in performance, orchestration and lyrics, Bartsch and Wakefield [1, 2] introduced chroma-based audio features to structural audio analysis. Chroma features, representing the spectral energy of each of the 12 traditional pitch classes of the equal-tempered scale, were also used in subsequent works such as [6, 8]. Goto [8] describes a method that detects the chorus sections in audio recordings of popular music. Important contributions of this work are, among others, the automatic identification of both ends of a chorus section (without prior knowledge of the chorus length) and the introduction of some shifting technique which allows to deal with modulations. Furthermore, Goto introduces a technique to cope with missing or inaccurately extracted candidates of repeating segments. In their work on repeating pattern discovery, Lu et al. [12] suggest a local distance measures that is invariant with respect to harmonic intervals, introducing some robustness to variations in instrumentation. Furthermore, they describe a postprocessing technique to optimize boundaries of the candidate segments. At this point we note that the above mentioned approaches, while exploiting that repeating segments are of the same duration, are based on the constant tempo assumption. Dannenberg and Hu [6] describe several general strategies for path extraction, which indicate how to achieve robustness to small local tempo variations. There are also several approaches to structural analysis based on learning methods such as hidden Markov models (HMMs) used to cluster similar segments into groups, see, e.g., [11, 15] and the references therein. In the context of *music summarization*, where the aim is to generate a list of the most representative musical segments without considering musical structure, Xu et al. [18] use support vector machines (SVMs) for classifying audio recordings into segments of pure and vocal music.

Maddage et al. [13] exploit some heuristics on the typical structure of popular music for both determining candidate segments and deriving the musical structure of a particular recording based on those segments. Their approach to structure analysis relies on the assumption that the analyzed recording follows a typical *verse-chorus pattern repetition*. As opposed to the general strategy introduced in Section 1.1, their approach only requires to implicitly calculate parts of a self-similarity matrix by considering only the candidate segments.

In summary, there have been several recent approaches to audio structure analysis that work well for music where the repetitions largely agree with respect to instrumentation, articulation, and tempo progression—as is often the case for popular music. In particular, most of the proposed strategies assume constant tempo throughout the piece (i. e. the path candidates have gradient (1, 1) in the self-similarity matrix), which is then exploited in the path extraction and clustering procedure. For example, this assumption is used by Goto [8] in his strategy for segment recovery, by Lu et al. [12] in their boundary refinement, and by Chai et al. [3, 4], in the step of segment merging. The reported experimental results refer almost entirely to popular music. For this genre, the proposed structure analysis algorithms report on good results even in presence of variations with respect to instrumentation and lyrics.

For music, however, where musically similar segments exhibit significant variations in instrumentation, execution of note groups, and local tempo, there are yet no effective and efficient solutions to audio structure analysis. Here, the main difficulties arise from the fact that due to spectral and temporal variations the quality of the resulting path structure of the self-similarity matrix significantly suffers from missing and fragmented paths, see Fig. 2. Furthermore, the presence of significant local tempo variations-as they frequently occur in Western classical music- can not be dealt with by the suggested strategies. As another problem, the high time and space complexity of  $O(N^2)$  to compute and store the similarity matrices makes the usage of self-similarity matrices infeasible for large N. It is the objective of this paper to introduce several fundamental techniques, which allow to efficiently perform structural audio analysis even in presence of significant musical variations, see Sect. 1.3.

Finally, we mention that first audio interfaces have been developed facilitating intuitive audio browsing based on the extracted audio structure. The SmartMusicKIOSK system [9] integrates functionalities for jumping to the chorus section and other key parts of a popular song as well as for visualizing song structure. The system constitutes the first interface that allows the user to easily skip sections of low interest even within a song. The SyncPlayer system [10] allows a multimodal presentation of audio and associated music-related data. Here, a recently developed audio structure plug-in not only allows for an efficient audio browsing but also for a direct comparison of musically related segments, which constitutes a valuable tool in music research.

Further suitable references to related work will be given in the respective sections.

### 1.3. Contributions

In this paper, we introduce several new techniques, to afford an automatic and efficient structural analysis even in the presence of large musical variations. For the first time, we report on our experiments on Western classical music including complex orchestral pieces. Our proposed structure analysis algorithm follows the four-stage strategy as described in Sect. 1.1. Here, one essential idea is that we account for musical variations by incorporating invariance and robustness at all four stages simultaneously. The following overview summarizes the main contributions and describes the structure of this paper.

- 1. Audio Features: We introduce a new class of robust and scalable audio features considering shorttime statistics over chroma-based energy distributions (Sect. 2). Such features not only allow to absorb variations in parameters such as dynamics, timbre, articulation, execution of note groups, and temporal microdeviations, but can also be efficiently processed in the subsequent steps due to their low resolution. The proposed features strongly correlate to the short-time harmonic content of the underlying audio signal.
- 2. **Similarity Measure:** As a second contribution, we significantly enhance the path structure of a self-similarity matrix by incorporating contextual information at various tempo levels into the local similarity measure (Sect. 3). This accounts for local temporal variations and significantly smooths the path structures.
- 3. **Path Extraction:** Based on the enhanced matrix, we suggest a robust and efficient path extraction procedure using a greedy strategy (Sect. 4). This step takes care of relative differences in the tempo progression between musically similar segments.
- 4. **Global Structure:** Each path encodes a pair of musically similar segments. To determine the global repetitive structure, we describe a one-step transitivity clustering procedure, which balances out the inconsistencies introduced by inaccurate and incorrect path extractions (Sect. 5).

We evaluated our structure extraction algorithm on a wide range of Western classical music including complex orchestral and vocal works (Sect. 6). The experimental results show that our method successfully identifies the repetitive structure—often corresponding to the musical form of the underlying piece—even in the presence of significant variations as indicated by the Brahms and Shostakovich examples. Our MATLAB implementation performs the structure analysis task within a couple of minutes even for long and versatile audio recordings such as Ravel's Bolero, which has a duration of more than 15 minutes and possesses a rich path structure. Further results and an audio demonstration can be found at http://www-mmdb.iai.uni-bonn.de/ projects/audiostructure.

# 2. ROBUST AUDIO FEATURES

In this section, we consider the design of audio features, where one has to deal with two mutually conflicting goals: robustness to admissible variations on the one hand and accuracy with respect to the relevant characteristics on the other hand. Furthermore, the features should support an efficient algorithmic solution of the problem they are designed for. In our structure analysis scenario, we consider audio segments as similar if they represent the same musical content regardless of the specific articulation and instrumentation. In other words, the structure extraction procedure has to be robust to variations in timbre, dynamics, articulation, local tempo changes, and global tempo up to the point of variations in note groups such as trills or grace notes.

In this section, we introduce a new class of audio features, which possess a high degree of robustness to variations of the above mentioned parameters and strongly correlate to the harmonics information contained in the audio signals. In the feature extraction, we proceed in two stages as indicated by Fig. 3. In the first stage, we use a small analysis window to investigate how the signal's energy locally distributes among the 12 chroma classes (Sect. 2.1). Using chroma distributions not only takes into account the close octave relationship in both melody and harmony as prominent in Western music, see [2], but also introduces a high degree of robustness to variations in dynamics, timbre, and articulation. In the second stage, we use a much larger statistics window to compute thresholded short-time statistics over these chroma energy distributions in order to introduce robustness to local time deviations and additional notes (Sect. 2.2). (As a general strategy, statistics such as pitch histograms for audio signals have been proven to be a useful tool in music genre classification, see, e.g., [17].) In the following, we identify the musical notes A0 to C8 (the range of a standard piano) with the MIDI pitches p = 21 to p = 108. For example, we speak of the note A4 (frequency 440 Hz) and simply write p = 69.

#### 2.1. First stage: local chroma energy distribution

First, we decompose the audio signal into 88 frequency bands with center frequencies corresponding to the MIDI pitches p = 21 to p = 108. To properly separate adjacent pitches, we need filters with narrow passbands, high rejection in the stopbands, and sharp cutoffs. In order to design a set of filters satisfying these stringent requirements for all MIDI notes in question, we work with three different sampling rates: 22050 Hz for high frequencies ( $p = 96, \ldots, 108$ ), 4410 Hz for medium frequencies ( $p = 60, \ldots, 95$ ), and 882 Hz for low frequencies ( $p = 21, \ldots, 59$ ). To this end, the original audio signal is downsampled to the required sampling rates after applying suitable anti-aliasing filters. Working with different sampling rates also takes into account that the time resolution naturally decreases in the analysis of lower frequencies. Each of the 88 filters is realized as an eighth-order elliptic filter with 1 dB passband ripple and 50 dB rejection in the stopband. To separate the notes, we use a Q factor (ratio of center frequency to bandwidth) of Q = 25 and a transition



Fig. 3. Two-stage CENS feature design (wl = window length, ov = overlap, sr = sampling rate, ds = downsampling factor).



**Fig. 4**. Magnitude responses in dB for the elliptic filters corresponding to the MIDI notes 60, 70, 80, and 88 to 92 with respect to the sampling rate of 4410 Hz.

band having half the width of the passband. Figure 4 shows the magnitude response of some of these filters.

Elliptic filters have excellent cutoff properties as well as low filter orders. However, these properties are at the expense of large phase distortions and group delays. Since in our offline scenario the entire audio signals are known prior to the filtering step, one can apply the following trick: after filtering in the forward direction, the filtered signal is reversed and run back through the filter. The resulting output signal has precisely zero phase distortion and a magnitude modified by the square of the filter's magnitude response. Further details may be found in standard text books on digital signal processing such as [16].

As a next step, we compute the short-time mean-square power (STMSP) for each of the 88 subbands by convolving the squared subband signals by a 200 ms rectangular window with an overlap of half the window size. Note that the actual window size depends on the respective sampling rate of 22050, 4410, and 882 Hz, which is compensated in the energy computation by introducing an additional factor of 1, 5, and 25, respectively. Then, we compute STMSPs of all chroma classes  $C, C^{\#}, \ldots, B$  by adding up the corresponding STMSPs of all pitches belonging to the respective class. For example, to compute the STMSP of the chroma C, we add up the STMSPs of the pitches C1, C2, ..., C8 (MIDI pitches  $24, 36, \ldots, 108$ ). This yields for every 100 ms a real 12-dimensional vector  $\vec{v} = (v_1, v_2 \dots, v_{12}) \in \mathbb{R}^{12}$ , where  $v_1$ corresponds to chroma C,  $v_2$  to chroma C<sup>#</sup>, and so on. Finally, we compute the energy distribution relative to the 12 chroma classes by replacing  $\vec{v}$  by  $\vec{v}/(\sum_{i=1}^{12} v_i)$ .

In summary, in the first stage the audio signal is converted into a sequence  $(\vec{v}^1, \vec{v}^2, \ldots, \vec{v}^N)$  of 12-dimensional chroma distribution vectors  $\vec{v}^n \in [0,1]^{12}$  for  $1 \leq n \leq N$ . For

the Brahms example given in the introduction, the resulting sequence is shown in Fig. 5 (light curve). Furthermore, to avoid random energy distributions occurring during passages of very low energy, (e.g., passages of silence before the actual start of the recording or during long pauses), we assign an equally distributed chroma energy to such passages. We also tested the short time Fourier transform (STFT) to compute the chroma features by pooling the spectral coefficients as suggested in [2]. Even though obtaining similar features, our filter bank approach, while having a comparable computational cost, allows a better control over the frequency bands. This particularly holds for the low frequencies, which is due to the more adequate resolution in time and frequency.

#### 2.2. Second stage: normalized short-time statistics

In view of possible variations in local tempo, articulation, and note execution, the local chroma energy distribution features are still too sensitive. Furthermore, as it will turn out in Sect. 3, a flexible and computationally inexpensive procedure is needed to adjust the feature resolution. Therefore, we further process the chroma features by introducing a second, much larger statistics window and consider *short-time statistics* concerning the chroma energy distribution over this window. More specifically, let  $Q : [0,1] \rightarrow \{0,1,2,3,4\}$  be a quantization function defined by

$$Q(a) := \begin{cases} 0 & \text{for} & 0 \leq a < 0.05, \\ 1 & \text{for} & 0.05 \leq a < 0.1, \\ 2 & \text{for} & 0.1 \leq a < 0.2, \\ 3 & \text{for} & 0.2 \leq a < 0.4, \\ 4 & \text{for} & 0.4 \leq a \leq 1. \end{cases}$$
(1)

Then, we quantize each chroma energy distribution vector  $\vec{v}^n = (v_1^n, \ldots, v_{12}^n) \in [0, 1]^{12}$  by applying Q to each component of  $\vec{v}^n$ , yielding  $Q(\vec{v}^n) := (Q(v_1^n), \ldots, Q(v_{12}^n))$ . Intuitively, this quantization assigns a value of 4 to a chroma component  $v_i^n$  if the corresponding chroma class contains more than 40 percent of the signal's total energy and so on. The thresholds are chosen in a logarithmic fashion. Furthermore, chroma components below a 5 percent threshold are excluded from further considerations. For example, the vector  $\vec{v}^n = (0.02, 0.5, 0.3, 0.07, 0.11, 0, \ldots, 0)$  is transformed into the vector  $Q(\vec{v}^n) := (0, 4, 3, 1, 2, 0, \ldots, 0)$ .



**Fig. 5.** Local chroma energy distributions (light curves, 10 feature vectors per second) and CENS feature sequence (dark bars, 1 feature vector per second) of the segment [42 : 69] (left, corresponding to  $B_1$ ) and segment [69 : 89] (right, corresponding to  $B_2$ ) of the Brahms example shown in Fig. 1. Note that even though the relative tempo progression in the parts  $B_1$  and  $B_2$  is different, the harmonic progression at the low resolution level of the CENS features is very similar.

In a subsequent step, we convolve the sequence  $(Q(\vec{v}^1), \ldots, Q(\vec{v}^N))$  component-wise with a Hann window of length  $w \in \mathbb{N}$ . This again results in a sequence of 12dimensional vectors with non-negative entries, representing a kind of weighted statistics of the energy distribution over a window of w consecutive vectors. In a last step, this sequence is downsampled by a factor of q. The resulting vectors are normalized with respect to the Euclidean norm. For example, if w = 41 and q = 10, one obtains one feature vector per second, each corresponding to roughly 4100 ms of audio. For short, the resulting features are referred to as CENS[w, q](Chroma Energy distribution Normalized Statistics). These features are elements of the following set of vectors:

$$\mathcal{F} := \left\{ \vec{v} = (v_1, \dots, v_{12})^\top \in [0, 1]^{12} \mid \sum_{i=1}^{12} v_i^2 = 1 \right\}.$$
 (2)

Fig. 5 shows the resulting sequence of CENS feature vectors for our Brahms example. Similar features have been applied in the audio matching scenario, see [14].

By modifying the parameters w and q, we may adjust the feature granularity and sampling rate without repeating the cost-intensive computations in Sect. 2.1. Furthermore, changing the thresholds and values of the quantization function Qallows to enhance or mask out certain aspects of the audio signal, e.g., making the CENS features insensitive to noise components that may arise during note attacks. Finally, using statistics over relatively large windows not only smooths out micro-temporal deviations, as may occur for articulatory reasons, but also compensates for different realizations of note groups such as trills or arpeggios.

In conclusion, we mention some potential problems concerning the proposed CENS features. The usage of a filter bank with fixed frequency bands is based on the assumption of well-tuned instruments. Slight deviations of up to 30–40 cents from the center frequencies can be compensated by the filters, which have relatively wide pass bands of constant amplitude response. Global deviations in tuning can be compensated by employing a suitably adjusted filter bank. However, phenomena such as strong string vibratos or pitch oscillation as is typical for, e.g., kettledrums lead to significant and problematic pitch smearing effects. Here, the detection and smoothing of such fluctuations, which is certainly not an easy task, may be necessary prior to the filtering step. However, as we will see in Sect. 6, the CENS features generally still lead to good analysis results even in the presence of the artifacts mentioned above.

#### 3. SIMILARITY MEASURE

In this section, we introduce a strategy for enhancing the path structure of a self-similarity matrix by designing a suitable local similarity measure. To this end, we proceed in three steps. As a starting point, let  $d : \mathcal{F} \times \mathcal{F} \rightarrow [0,1]$  be the similarity measure on the space  $\mathcal{F} \subset \mathbb{R}^{12}$  of CENS feature vectors (see (2)) defined by

$$d(\vec{v}, \vec{w}) := 1 - \langle \vec{v}, \vec{w} \rangle \tag{3}$$

for CENS[w, q]-vectors  $\vec{v}, \vec{w} \in \mathcal{F}$ . Since  $\vec{v}$  and  $\vec{w}$  are normalized, the inner product  $\langle \vec{v}, \vec{w} \rangle$  coincides with the cosine of the angle between  $\vec{v}$  and  $\vec{w}$ . For short, the resulting self-similarity matrix will also be denoted by  $\mathcal{S}[w, q]$  or simply by  $\mathcal{S}$  if w and q are clear from the context.



**Fig. 6.** Enhancement of the similarity matrix of the Shostakovich example, see Fig. 2. (a),(b): S[41, 10] and enlargement. (c),(d):  $S_{10}[41, 10]$  and enlargement. (e),(f):  $S_{10}^{\min}[41, 10]$  and enlargement.

To further enhance the path structure of S[w, q], we incorporate contextual information into the local similarity measure. A similar approach has been suggested in [2] or [12], where the self-similarity matrix is filtered along diagonals assuming constant tempo. We will show later in this section how to remove this assumption by, intuitively speaking, filtering along various directions simultaneously, where each of the directions corresponds to a different local tempo. In [15], matrix enhancement is achieved by using HMM-based "dynamic" features, which model the temporal evolution of the spectral shape over a fixed time duration. For the moment, we also assume constant tempo and then, in a second step, describe how to get rid of this assumption. Let  $L \in \mathbb{N}$  be a length parameter. We define the *contextual similarity measure*  $d_L$  by

$$d_L(n,m) := \frac{1}{L} \sum_{\ell=0}^{L-1} d(\vec{v}^{n+\ell}, \vec{v}^{m+\ell}), \tag{4}$$

where  $1 \leq n, m \leq N - L + 1$ . By suitably extending the CENS sequence  $(\vec{v}^1, \ldots, \vec{v}^N)$ , e.g., via zero-padding, one

w	29	33	37	41	45	49	53	57
q	7	8	9	10	11	12	13	14
tc	1.43	1.25	1.1	1.0	0.9	0.83	0.77	0.7

**Table 1**. Tempo changes (tc) simulated by changing the statistics window size w and the downsampling factor q.

may extend the definition to  $1 \leq n, m \leq N$ . Then, the *contextual similarity matrix*  $S_L$  is defined by  $S_L(n,m) := d_L(n,m)$ . In this matrix, a value  $d_L(n,m) \in [0,1]$  close to zero implies that the entire *L*-sequence  $(\vec{v}^n, \ldots, \vec{v}^{n+L-1})$  is similar to the *L*-sequence  $(\vec{v}^m, \ldots, \vec{v}^{m+L-1})$ , resulting in an enhancement of the diagonal path structure in the similarity matrix. This is also illustrated by our Shostakovich example, showing S[41, 10] in Fig. 6 (a) and  $S_{10}[41, 10]$  in Fig. 6 (c). Here, the diagonal path structure of  $S_{10}[41, 10]$ —as opposed to the one of S[41, 10]—is much clearer, which not only facilitates the extraction of structural information but also allows to further decrease the feature sampling rate. Note that the contextual similarity matrix  $S_L$  can be efficiently computed from S by applying an averaging filter along the diagonals. More precisely,  $S_L(n,m) = \frac{1}{L} \sum_{\ell=0}^{L-1} S(n+\ell,m+\ell)$  (with a suitable zero-padding of S).

So far, we have enhanced similarity matrices by regarding the context of L consecutive features vectors. This procedure is problematic when similar segments do not have the same tempo. Such a situation frequently occurs in classical music—even within the same interpretation—as is shown by our Brahms example, see Fig. 1. To account for such variations we, intuitively speaking, create several versions of one of the audio data streams, each corresponding to a different global tempo, which are then incorporated into one single similarity measure. More precisely, let  $\vec{V}[w,q]$  denote the CENS[w,q] sequence of length N[w,q] obtained from the audio data stream in question. For the sake of concreteness, we choose w = 41 and q = 10 as reference parameters, resulting in a feature sampling rate of 1 Hz. We now simulate a tempo change of the data stream by modifying the values of w and q. For example, using a window size of w = 53 (instead of 41) and a downsampling factor of q = 13 (instead of 10) simulates a tempo change of the original data stream by a factor of  $10/13 \approx 0.77$ . In our experiments, we used 8 different tempi as indicated by Table 1, covering tempo variations of roughly -30 to +40 percent. We then define a new similarity measure  $d_L^{\min}$  by

$$d_L^{\min}(n,m) := \min_{[w,q]} \frac{1}{L} \sum_{\ell=0}^{L-1} d\Big(\vec{v}[41,10]^{n+\ell}, \vec{v}[w,q]^{\tilde{m}+\ell}\Big),\tag{5}$$

where the minimum is taken over the pairs [w,q] listed in Table 1 and  $\tilde{m} = \lceil m \cdot 10/q \rceil$ . In other words, at position (n,m), the *L*-subsequence of  $\vec{V}[41,10]$  starting at absolute time *n* (note that the feature sampling rate is 1 Hz) is compared with the *L*-subsequence of  $\vec{V}[w,q]$  (simulating a tempo



**Fig. 7.** Enhancement of the similarity matrix of the Brahms example, see Fig. 1. (a),(b): S[41, 10] and enlargement. (c),(d):  $S_{10}[41, 10]$  and enlargement. (e),(f):  $S_{10}^{\min}[41, 10]$  and enlargement.

change of 10/q) starting at absolute time m (corresponding to feature position  $\tilde{m} = \lceil m \cdot 10/q \rceil$ ). From this we obtain the modified contextual similarity matrix  $S_L^{\min}$  defined by  $S_L^{\min}(n,m) := d_L^{\min}(n,m)$ . Fig. 7 shows that incorporating local tempo variations into contextual similarity matrices significantly improves the quality of the path structure, in particular for the case that similar audio segments exhibit different local relative tempi.

### 4. PATH EXTRACTION

In the last two sections, we have introduced a combination of techniques—robust CENS features and usage of contextual information—resulting in smooth and structurally enhanced self-similarity matrices. We now describe a flexible and efficient strategy to extract the paths of a given self-similarity matrix  $S = S_L^{\min}[w, q]$ .

Mathematically, we define a path to be a sequence  $P = (p_1, p_2, \dots, p_K)$  of pairs of indices  $p_k = (n_k, m_k) \in [1 :$ 

N]<sup>2</sup>,  $1 \le k \le K$ , satisfying the path constraints

$$p_{k+1} = p_k + \delta \text{ for some } \delta \in \Delta,$$
 (6)

where  $\Delta := \{(1, 1), (1, 2), (2, 1)\}$  and  $1 \le k \le K - 1$ . The pairs  $p_k$  will also be called the *links* of *P*. Then the *cost* of link  $p_k = (n_k, m_k)$  is defined as  $S(n_k, m_k)$ . Now, it is the objective to extract long paths consisting of links having low costs. Our path extraction algorithm consists of three steps. In Step (1), we start with a link of minimal cost, referred to as *initial link*, and construct a path in a greedy fashion by iteratively adding links of low cost, referred to as *admissible links*. In Step (2), all links in a neighborhood of the constructed path are excluded from further considerations by suitably modifying *S*. Then, Step (1) and Step (2) are repeated until there are no links of low cost left. Finally, the extracted paths are postprocessed in Step (3). The details are as follows:

- (0) Initialization: Set S = S<sub>L</sub><sup>min</sup>[w, q] and let C<sub>in</sub>, C<sub>ad</sub> ∈ ℝ<sub>>0</sub> be two suitable thresholds for the maximal cost of the initial links and the admissible links, respectively. (In our experiments, we typically chose 0.08 ≤ C<sub>in</sub> ≤ 0.15 and 0.12 ≤ C<sub>ad</sub> ≤ 0.2.) We modify S by setting S(n,m) = C<sub>ad</sub> for n ≤ m, i.e., the links below the diagonal will be excluded in the following steps. Similarly, we exclude the neighborhood of the diagonal path P = ((1,1), (2,2), ..., (N, N)) by modifying S using the path removal strategy as described in Step (2).
- (1) Path construction: Let p<sub>0</sub> = (n<sub>0</sub>, m<sub>0</sub>) ∈ [1 : N]<sup>2</sup> be the indices minimizing S(n, m). If S(n<sub>0</sub>, m<sub>0</sub>) ≥ C<sub>in</sub>, the algorithm terminates. Otherwise, we construct a new path P by extending p<sub>0</sub> iteratively, where all possible extensions are described by Fig. 8 (a). Suppose we have already constructed P = (p<sub>a</sub>,..., p<sub>0</sub>,..., p<sub>b</sub>) for a ≤ 0 and b ≥ 0. Then, if min<sub>δ∈Δ</sub>(S(p<sub>b</sub> + δ)) < C<sub>ad</sub>, we extend P by setting

$$p_{b+1} := p_b + \operatorname{argmin}_{\delta \in \Delta}(\mathcal{S}(p_b + \delta)), \qquad (7)$$

and, if  $\min_{\delta \in \Delta}(\mathcal{S}(p_a - \delta)) < C_{\mathrm{ad}}$ , extend P by setting

$$p_{a-1} := p_a - \operatorname{argmin}_{\delta \in \Delta}(\mathcal{S}(p_a - \delta)).$$
(8)

Fig. 8 (b) illustrates such a path. If there are no further extensions with admissible links, we proceed with Step (2). Shifting the indices by a + 1, we may assume that the resulting path is of the form  $P = (p_1, \ldots, p_K)$  with K = a + b + 1.

(2) **Path removal:** For a fixed link  $p_k = (n_k, m_k)$  of P we consider the maximal number  $m_k \leq m^* \leq N$  with the property that  $S(n_k, m_k) \leq S(n_k, m_k + 1) \leq \ldots \leq S(n_k, m^*)$ . In other words, the sequence  $(n_k, m_k), (n_k, m_k + 1), \ldots, (n_k, m^*)$  defines a *ray* starting at position  $(n_k, m_k)$  and running horizontally



**Fig. 8**. (a) Initial link and possible path extensions. (b) Path resulting from Step (1). (c) Rays used for path removal in Step (2).

to the right such that S is monotonically increasing. Analogously, we consider three other types of rays starting at position  $(n_k, m_k)$  running horizontally to the left, vertically upwards, and vertically downwards, see Fig. 8 (c) for an illustration. We then consider all such rays for all links  $p_k$  of P. Let  $\mathcal{N}(P) \subset [1 : N]^2$  be the set of all pairs (n, m) lying on one of these rays. Note that  $\mathcal{N}(P)$  defines a neighborhood of the path P. To exclude the links of  $\mathcal{N}(P)$  from further consideration, we set  $S(n,m) = C_{\rm ad}$  for all  $(n,m) \in \mathcal{N}(P)$  and continue by repeating Step (1).

In our actual implementation, we made Step (2) more robust by softening the monotonicity condition on the rays. After the above algorithm terminates, we obtain a set of paths denoted by  $\mathcal{P}$ , which is postprocessed in a third step by means of some heuristics. For the following, let  $P = (p_1, p_2, \ldots, p_K)$  denote a path in  $\mathcal{P}$ .

- (3a) **Removing short paths:** All paths that have a length K shorter than a threshold  $K_0 \in \mathbb{N}$  are removed. (In our experiments, we chose  $5 \le K_0 \le 10$ .) Such paths frequently occur as a result of residual links that have not been correctly removed by Step (2).
- (3b) Pruning paths: We prune each path P ∈ P at the beginning by removing the links p<sub>1</sub>, p<sub>2</sub>,..., p<sub>k₀</sub> up to the index 0 ≤ k₀ ≤ K, where k₀ denotes the maximal index such that the cost of each link p<sub>1</sub>, p<sub>2</sub>,..., p<sub>k₀</sub> exceeds some suitably chosen threshold C<sub>pr</sub> lying in between C<sub>in</sub> and C<sub>ad</sub>. Analogously, we prune the end of each path. This step is performed due to the following observation: introducing contextual information into the local similarity measure results in a smoothing effect of the paths along the diagonal direction. This, in turn, results in a blurring effect at the beginning and end of such paths—as illustrated by Fig. 6 (f)—unnaturally extending such paths at both ends in the construction of Step (1).
- (3c) **Extending paths:** We then extend each path  $P \in \mathcal{P}$  at its end by adding suitable links  $p_{K+1}, \ldots, p_{K+L_0}$ . This step is performed due to the following reason: since we have incorporated



**Fig. 9.** Illustration of the path extraction algorithm for the Brahms example of Fig. 1. (a) Self-similarity matrix  $S = S_{16}^{\min}[41, 10]$ . Here, all values exceeding the threshold  $C_{ad} = 0.16$  are plotted in white. (b) Matrix S after Step (0) (initialization). (c) Matrix S after performing Step (1) and Step (2) once using the thresholds  $C_{in} = 0.08$  and  $C_{ad} = 0.16$ . Note that a long path in the left upper corner was constructed, the neighborhood of which has then been removed. (d) Resulting path set  $\mathcal{P} = \{P_1, \ldots, P_7\}$  after the postprocessing of Step (3) using  $K_0 = 5$  and  $C_{pr} = 0.10$ . The index m of  $P_m$  is indicated along each respective path.

contextual information into the local similarity measure, a low cost  $S(p_K) = d_L^{\min}(n_K, m_K)$  of the link  $p_K = (n_K, m_K)$  implies that the whole sequence  $(\vec{v}^{n_K}[41, 10], \dots, \vec{v}^{n_K+L-1}[41, 10])$  is similar to  $(\vec{v}^{m_K}[w, q], \dots, \vec{v}^{m_K+L-1}[w, q])$  for the minimizing [w, q] of Table 1, see Sect. 3. Here the length and direction of the extension  $p_{K+1}, \dots, p_{K+L_0}$  depends on the values [w, q]. (In the case [w, q] = [41, 10], we set  $L_0 = L$  and  $p_k = p_K + (k, k)$  for  $k = 1, \dots, L_0$ .)

Fig. 9 illustrates the steps of our path extraction algorithm for the Brahms example. Part (d) shows the resulting path set  $\mathcal{P}$ . Note that each path corresponds to a pair of similar segments and encodes the relative tempo progression between these two segments. Fig. 10 (b) shows the set  $\mathcal{P}$  for the Shostakovich example. In spite of the matrix enhancement, the similarity between the segments corresponding to  $A_1$  and  $A_3$  has not been correctly identified, resulting in the aborted path  $P_1$  (which should correctly start at link (4, 145)). Even though, as we will show in the next section, the extracted information is sufficient to correctly derive the global structure.



**Fig. 10**. Shostakovich example of Fig. 2. (a)  $S_{16}^{\min}[41, 10]$ . (b)  $\mathcal{P} = \{P_1, \ldots, P_6\}$  based on the same parameters as in the Brahms example of Fig. 9. The index m of  $P_m$  is indicated along each respective path.

#### 5. GLOBAL STRUCTURE ANALYSIS

In this section, we propose an algorithm to determine the global repetitive structure of the underlying piece of music from the relations defined by the extracted paths. We first introduce some notation. A segment  $\alpha = [s : t]$  is given by its starting point s and end point t, where s and t are given in terms of the corresponding indices in the feature sequence  $\vec{V} = (\vec{v}^1, \vec{v}^2, \dots, \vec{v}^N)$ , see Sect. 1. A similarity clus*ter*  $\mathcal{A} := \{\alpha_1, \ldots, \alpha_M\}$  of size  $M \in \mathbb{N}$  is defined to be a set of segments  $\alpha_m$ ,  $1 \leq m \leq M$ , which are considered to be mutually similar. Then, the global structure is described by a complete list of relevant similarity clusters of maximal size. In other words, the list should represent all repetitions of musically relevant segments. Furthermore, if a cluster contains a segment  $\alpha$ , then the cluster should also contain all other segments similar to  $\alpha$ . For example, in our Shostakovich example of Fig. 2 the global structure is described by the clusters  $\mathcal{A}_1 = \{\alpha_1, \alpha_2, \alpha_3, \alpha_4\}$  and  $\mathcal{A}_2 = \{\gamma_1, \gamma_2\}$ , where the segments  $\alpha_k$  correspond to the parts  $A_k$  for  $1 \le k \le 4$  and the segments  $\gamma_k$  to the parts  $C_k$  for  $1 \le k \le 2$ . Given a cluster  $\mathcal{A} = \{\alpha_1, \ldots, \alpha_M\}$  with  $\alpha_m = [s_m : t_m], 1 \le m \le M$ , the support of A is defined to be the subset

$$\operatorname{supp}(\mathcal{A}) := \bigcup_{m=1}^{M} [s_m : t_m] \subset [1:N].$$
(9)

Recall that each path P indicates a pair of similar segments. More precisely, the path  $P = (p_1, \ldots, p_K)$  with  $p_k = (n_k, m_k)$  indicates that the segment  $\pi_1(P) := [n_1 : n_K]$  is similar to the segment  $\pi_2(P) := [m_1 : m_K]$ . Such a pair of segments will also be referred to as a *path relation*. As an example, Fig. 11 (a) shows the path relations of our Shostakovich example. In this section, we describe an algorithm that derives large and consistent similarity clusters from the path relations induced by the set  $\mathcal{P}$  of extracted paths. From a theoretical point of view, one has to construct some kind of transitive closure of the path relations, see also [6]. For example, if segment  $\alpha$  is similar to segment  $\beta$ , and segment  $\beta$  is similar to segment  $\gamma$ , then  $\alpha$  should also be regarded

as similar to  $\gamma$  resulting in the cluster  $\{\alpha, \beta, \gamma\}$ . The situation becomes more complicated when  $\alpha$  overlaps with some segment  $\beta$  which, in turn, is similar to segment  $\gamma$ . This would imply that a subsegment of  $\alpha$  is similar to some subsegment of  $\gamma$ . In practice, the construction of similarity clusters by iteratively continuing in the above fashion is problematic. Here, inconsistencies in the path relations due to semantic (vague concept of musical similarity) or to algorithmic (inaccurately extracted or missing paths) reasons may lead to meaningless clusters, e.g., containing a series of segments where each segment is a slightly shifted version of its predecessor. For example, let  $\alpha = [1:10], \beta = [11:20], \gamma = [22:31], \text{ and}$  $\delta = [3:11]$ . Then similarity relations between  $\alpha$  and  $\beta$ ,  $\beta$  and  $\gamma$ ,  $\gamma$  and  $\delta$  would imply that  $\alpha = [1:10]$  has to be regarded as similar to  $\delta = [3:11]$ , and so on. To balance out such inconsistencies, previous strategies such as [8] rely upon the constant tempo assumption. To achieve a robust and meaningful clustering even in the presence of significant local tempo variations, we suggest a new clustering algorithm, which proceeds in three steps. To this end, let  $\mathcal{P} = \{P_1, P_2, \dots, P_M\}$ be the set of extracted paths  $P_m$ ,  $1 \le m \le M$ . In Step (1) (transitivity step) and Step (2) (merging step), we compute for each  $P_m$  a similarity cluster  $\mathcal{A}_m$  consisting of all segments that are either similar to  $\pi_1(P_m)$  or to  $\pi_2(P_m)$ . In Step (3), we then discard the redundant clusters. We exemplarily explain the procedure of Step (1) and Step (2) by considering the path  $P_1$ .

- (1) **Transitivity Step:** Let  $T_{ts}$  be a suitable tolerance parameter measured in percent (in our experiments we used  $T_{\rm ts} = 90$ ). First, we construct a cluster  $\mathcal{A}_1^1$  for the path  $P_1$  and the segment  $\alpha := \pi_1(P_1)$ . To this end, we check for all paths  $P_m$  whether the intersection  $\alpha_0 := \alpha \cap \pi_1(P_m)$  contains more than  $T_{\rm ts}$  percent of  $\alpha$ , i.e., whether  $|\alpha_0|/|\alpha| \geq T_{\rm ts}/100$ . In the affirmative case, let  $\beta_0$  be the subsegment of  $\pi_2(P_m)$  that corresponds under  $P_m$  to the subsegment  $\alpha_0$  of  $\pi_1(P_m)$ . We add  $\alpha_0$  and  $\beta_0$  to  $\mathcal{A}_1^1$ . Similarly, we check for all paths  $P_m$  whether the intersection  $\alpha_0 := \alpha \cap \pi_2(P_m)$ contains more than  $T_{\mathrm{ts}}$  percent of  $\alpha$  and add in the affirmative case  $\alpha_0$  and  $\beta_0$  to  $\mathcal{A}_1^1$ , where this time  $\beta_0$  is the subsegment of  $\pi_1(P_m)$  that corresponds under  $P_m$ to  $\alpha_0$ . Note that  $\beta_0$  generally does not have the same length as  $\alpha_0$ . (Recall that the relative tempo variation is encoded by the gradient of  $P_m$ .) Analogously, we construct a cluster  $\mathcal{A}_1^2$  for the path  $P_1$  and the segment  $\alpha := \pi_2(P_1)$ . The clusters  $\mathcal{A}_1^1$  and  $\mathcal{A}_1^2$  can be regarded as the result of the first iterative step towards forming the transitive closure.
- (2) Merging Step: The cluster A<sub>1</sub> is constructed by basically merging the clusters A<sub>1</sub><sup>1</sup> and A<sub>1</sub><sup>2</sup>. To this end, we compare each segment α ∈ A<sub>1</sub><sup>1</sup> with each segment β ∈ A<sub>1</sub><sup>2</sup>. In the case that the intersection γ := α ∩ β contains more than T<sub>ts</sub> percent of α and of β (i.e., α



Fig. 11. Illustration of the clustering algorithm for the Shostakovich example. The path set  $\mathcal{P} = \{P_1, \ldots, P_6\}$  is shown in Fig. 10 (b). Segments are indicated by gray bars and overlaps are indicated by black regions. (a) Illustration of the two segments  $\pi_1(P_m)$  and  $\pi_2(P_m)$  for each path  $P_m \in \mathcal{P}$ ,  $1 \leq m \leq 6$ . Row *m* corresponds to  $P_m$ . (b) Clusters  $\mathcal{A}_m^1$  and  $\mathcal{A}_m^2$  (rows 2m - 1 and 2m) computed in Step (1) with  $T_{\rm ts} = 90$ . (c) Clusters  $\mathcal{A}_m$  (row *m*) computed in Step (2). (d) Final result of the clustering algorithm after performing Step (3) with  $T_{\rm dc} = 90$ . The derived global structure is given by two similarity clusters. The first cluster corresponds to the musical parts  $\{A_1, A_2, A_3, A_4\}$  (first row) and the second cluster to  $\{C_1, C_2\}$  (second row), cf. Fig. 2.

essentially coincides with  $\beta$ ), we add the segment  $\gamma$ to  $\mathcal{A}_1$ . In the case that for a fixed  $\alpha \in \mathcal{A}_1^1$  the intersection  $\alpha \cap \operatorname{supp}(\mathcal{A}_1^2)$  contains less than  $(100 - T_{\mathrm{ts}})$ percent of  $\alpha$  (i. e.,  $\alpha$  is essentially disjoint with all  $\beta \in \mathcal{A}_1^2$ ), we add  $\alpha$  to  $\mathcal{A}_1$ . Symmetrically, if for a fixed  $\beta \in \mathcal{A}_1^2$  the intersection  $\beta \cap \operatorname{supp}(\mathcal{A}_1^1)$  contains less than  $(100 - T_{\mathrm{ts}})$  percent of  $\beta$ , we add  $\beta$  to  $\mathcal{A}_1$ . Note that by this procedure, the first case balances out small inconsistencies, whereas the second case and the third case compensate for missing path relations. Furthermore, segments  $\alpha \in \mathcal{A}_1^1$  and  $\beta \in \mathcal{A}_1^2$  that do not fall into one of the above categories indicate significant inconsistencies and are left unconsidered in the construction of  $\mathcal{A}_1$ .

After Step (1) and Step (2), we obtain a cluster  $A_1$  for the path  $P_1$ . In an analogous fashion, we compute clusters  $A_m$  for all paths  $P_m$ ,  $1 \le m \le M$ .



**Fig. 12**. Steps of the clustering algorithm for the Brahms example, see Fig. 9. For details we refer to Fig. 11. The final result correctly represents the global structure: The cluster of the second row corresponds to  $\{B_1, B_2, B_3, B_4\}$ , and the one of the third row to  $\{A_1, A_2, A_3\}$ . Finally, the cluster of the first row expresses the similarity between  $A_2B_1B_2$  and  $A_3B_3B_4$ , cf. Fig 1.

(3) Discarding clusters: Let T<sub>dc</sub> be a suitable tolerance parameter measured in percent (in our experiments we chose T<sub>dc</sub> between 80 and 90 percent). We say that cluster A is a T<sub>dc</sub>-cover of cluster B if the intersection supp(A) ∩ supp(B) contains more than T<sub>dc</sub> percent of supp(B). By pairwise comparison of all clusters A<sub>m</sub> we successively discard all clusters that are T<sub>dc</sub>-covered by some other cluster consisting of a larger number of segments. (Here the idea is that a cluster with a larger number of smaller segments contains more information than a cluster having the same support while consisting of a smaller number of larger segments.) In the case that two clusters are mutual T<sub>dc</sub>-covers and consist of the same number of segments, we discard the cluster with the smaller support.

The steps of the clustering algorithm are also illustrated by Fig. 11 and Fig. 12. Recall from Sect. 4 that in the Shostakovich example, the significant variations in the instrumentation led to a defective path extraction. In particular, the similarity of the segments corresponding to parts  $A_1$  and  $A_3$ could not be correctly identified as reflected by the truncated path  $P_1$ , see Fig. 10 (b) and Fig. 11 (a). Nevertheless, the correct global structure was derived by the clustering algorithm,



**Fig. 13**. (a) Chopin, "Tristesse", Etude op. 10/3, played by Varsi. (b) Beethoven, "Pathetique", second movement, op. 13, played by Barenboim. (c) Gloria Gaynor, "I will survive". (d) Part  $A_1A_2B$  of the Shostakovich example of Fig. 2 repeated three times in modified tempi (normal tempo, 140 percent of normal tempo, accelerating tempo from 100 to 140 percent).

cf. Fig. 11 (d). Here, the missing relation was recovered by Step (1) (transitivity step) from the correctly identified similarity relation between segments corresponding to  $A_3$  and  $A_4$ (path  $P_2$ ) and between segments corresponding to  $A_1$  and  $A_4$ (path  $P_3$ ). The effect of Step (3) is illustrated by comparing (c) and (d) of Fig. 11. Since the cluster  $A_5$  is a 90-percent cover of the clusters  $A_1$ ,  $A_2$ ,  $A_3$ , and  $A_6$ , and has the largest support, the latter clusters are discarded.

#### 6. EXPERIMENTS

We implemented our algorithm for audio structure analysis in MATLAB and tested it on about 100 audio recordings reflecting a wide range of mainly Western classical music, including pieces by Bach, Beethoven, Brahms, Chopin, Mozart, Ravel, Schubert, Schumann, Shostakovich, and Vivaldi. In particular, we used musically complex orchestral pieces exhibiting a large degree of variations in their repetitions with respect to instrumentation, articulation, and local tempo variations. From a musical point of view, the global repetitive structure is often ambiguous since it depends on the particular notion of similarity, on the degree of admissible variations, as well as on the musical significance and duration of the respective repetitions. Furthermore, the structural analysis can be performed at various levels: at a global level (e.g., segmenting a sonata into exposition, repetition of the exposition, development, and recapitulation), an intermediary level (e.g., further splitting up the exposition into first and second theme), or on a fine level (e.g., segmenting into repeating motifs). This makes the automatic structure extraction as well as an objective evaluation of the results a difficult and problematic task.

In our experiments, we looked for repetitions at a global to intermediary level corresponding to segments of at least 15–20 seconds of duration, which is reflected in our choice of parameters, see Sect. 6.1. In that section, we will also present some general results and discuss in detail two complex examples: Mendelssohn's Wedding March and Ravel's Bolero. In Sect. 6.2, we discuss the running time behavior

of our implementation. It turns out that the algorithm is applicable to pieces even longer than 45 minutes, which covers essentially any piece of Western classical music. To account for transposed (pitch-shifted) repeating segments, we adopted the shifting technique suggested by Goto [8]. Some results will be discussed in Sect. 6.3. Further results and an audio demonstration can be found at http://www-mmdb.iai.uni-bonn.de/projects/audiostructure.

#### 6.1. General Results

In order to demonstrate the capability of our structure analysis algorithm, we discuss some representative results in detail. This will also illustrate the kind of difficulties generally found in music structure analysis. Our algorithm is fully automatic, in other words, no prior knowledge about the respective piece is exploited in the analysis. In all examples, we use the following fixed set of parameters. For the self-similarity matrix, we use  $S_{16}^{\min}[41, 10]$  with a corresponding feature resolution of 1 Hz, see Sect. 3. In the path extraction algorithm of Sect. 4, we set  $C_{in} = 0.08$ ,  $C_{ad} = 0.16$ ,  $C_{pr} = 0.10$ , and  $K_0 = 5$ . Finally, in the clustering algorithm of Sect. 5 we set  $T_{ts} = 90$  and  $T_{dc} = 90$ . The choice of the above parameters and thresholds constitutes a trade-off between being tolerant enough to allow relevant variations and being robust enough to deal with artifacts and inconsistencies.

As a first example, we consider a Varsi recording of Chopin's Etude op. 10/3 ("Tristesse"). The underlying piece has the musical form  $A_1A_2B_1CA_3B_2D$ . This structure has successfully been extracted by our algorithm, see Fig. 13 (a). Here, the first cluster  $A_1$  corresponds to the parts  $A_2B_1$  and  $A_3B_2$ , whereas the second cluster  $A_2$  corresponds to the parts  $A_1$ ,  $A_2$ , and  $A_3$ . For simplicity, we use the notation  $A_1 \sim \{A_2B_1, A_3B_2\}$  and  $A_2 \sim \{A_1, A_2, A_3\}$ . The similarity relation between  $B_1$  and  $B_2$  is induced from cluster  $A_1$ by "subtracting" the respective A-part which is known from cluster  $A_2$ . The small gaps between the segments in cluster  $A_2$  are due to the fact that the tail of  $A_1$  (passage to  $A_2$ ) is different from the tail of  $A_2$  (passage to  $B_1$ ).



Fig. 14. (a) Mendelssohn, "Wedding March", op. 21-7, conducted by Tate. (b) Ravel, "Bolero", conducted by Ozawa.

The next example is a Barenboim interpretation of the second movement of Beethoven's Pathetique, which has the musical form  $A_1A_2BA_3CA_4A_5D$ . The interesting point of this piece is that the A-parts are variations of each other. For example, the melody in  $A_2$  and  $A_4$  is played one octave higher than the melody in  $A_1$  and  $A_3$ . Furthermore,  $A_3$  and  $A_4$  are rhythmic variations of  $A_1$  and  $A_2$ . Nevertheless, the correct global structure has been extracted, see Fig. 13 (b). The three clusters are in correspondence with  $\mathcal{A}_1 \sim \{A_1A_2, A_4A_5\},\$  $\mathcal{A}_3 \sim \{A_1, A_3, A_5\}$ , and  $\mathcal{A}_2 \sim \{A_1', A_2', A_3', A_4', A_5'\}$ , where  $A'_k$  denotes a truncated version of  $A_k$ . Hence, the segments  $A_1, A_3$ , and  $A_5$  are identified as a whole, whereas the other A-parts are identified only up to their tail. This is due to the fact that the tails of the A-parts exhibit some deviations leading to higher costs in the self-similarity matrix, as illustrated by Fig. 13 (b).

The popular song "I will survive" by Gloria Gaynor consists of an introduction I followed by eleven repetitions  $A_k$ ,  $1 \le k \le 11$ , of the chorus. This highly repetitive structure is reflected by the secondary diagonals in the self-similarity matrix, see Fig. 13 (c). The segments exhibit variations not only with respect to the lyrics but also with respect to instrumentation and tempo. For example, some segments include a secondary voice in the violin, others harp arpeggios or trumpet syncopes. The first chorus  $A_1$  is played without percussion, whereas  $A_5$  is a purely instrumental version. Also note that there is a significant ritardando in  $A_9$  between seconds 150 and 160. In spite of these variations, the structure analysis algorithm works almost correctly. However, there are two artifacts that have not been ruled out by our strategy. Each chorus  $A_k$  can be split up into two subparts  $A_k = A'_k A''_k$ . The computed cluster  $A_1$  corresponds to the ten parts  $A_{k-1}'' A_k' A_k''$ ,  $2 \leq k \leq 11,$  revealing an overlap in the  $A^{\prime\prime}\text{-parts}.$  In particular, the extracted segments are "out of phase" since they start with subsegments corresponding to the A''-parts. This may be due to extreme variations in  $A'_1$  making this part dissimilar to the other A'-parts. Since  $A'_1$  constitutes the beginning of the extracted paths, it has been (mistakenly) truncated in Step (3b) (pruning paths) of Sect. 4.

To check the robustness of our algorithm with respect to global and local tempo variations, we conducted a series of experiments with synthetically time-stretched audio signals (i. e., we changed the tempo progression without changing the pitch). As it turns out, there are no problems in identifying similar segments that exhibit global tempo variations of up to 50 percent as well as local tempo variations such as ritardandi and accelerandi. As an example, we consider the audio file corresponding to the part  $A_1A_2B$  of the Shostakovich example of Fig. 2. From this, we generated two additional time-stretched variations: a faster version at 140 percent of the normal tempo and an accelerating version speeding up from 100 to 140 percent. The musical form of the concatenation of these three versions is  $A_1A_2B_1A_3A_4B_2A_5A_6B_3$ . This structure has been correctly extracted by our algorithm, see Fig. 13 (d). The correspondences of the two resulting clusters are  $A_1 \sim \{A_1A_2B_1, A_3A_4B_2, A_5A_6B_3\}$  and  $A_2 \sim \{A_1, A_2, A_3, A_4, A_5, A_6\}$ .

Next, we discuss an example with a musically more complicated structure. This will also illustrate some problems typically appearing in automatic structure analysis. The "Wedding March" by Mendelssohn has the musical form

$$\begin{array}{rrrr} A_1B_1A_2B_2C_1B_3C_2B_4 & D_1D_2E_1D_3E_1D_4 \dots \\ \dots B_5 & F_1G_1G_2H_1 & A_3B_6C_3B_7A_4 & I_1I_2J_1 \end{array}$$

Furthermore, each segment  $B_k$  for  $1 \le k \le 7$  has a substructure  $B_k = B'_k B''_k$  consisting of two musically similar subsegments  $B'_k$  and  $B''_k$ . However, the B''-parts reveal significant variations even at the note level. Our algorithm has computed seven clusters, which are arranged according to the lengths of their support, see Fig. 14 (a). Even though not visible at first glance, these clusters represent most of the musical structure accurately. Manual inspection reveals that the cluster segments correspond, up to some tolerance, to the musical parts as follows:

$$\begin{array}{rcl} \mathcal{A}_{1} & \sim & \{B_{2}C_{1}B_{3}', B_{3}C_{2}B_{4}', B_{6}C_{3}B_{7}'\} \\ \mathcal{A}_{2} & \sim & \{B_{2}C_{1}B_{3}+, B_{6}C_{3}B_{7}+\} \\ \mathcal{A}_{3} & \sim & \{B_{1}, B_{2}, B_{3}, B_{6}, B_{7}\} \\ \mathcal{A}_{4} & \sim & \{B_{1}', B_{2}', B_{3}', B_{4}', B_{5}', B_{6}', B_{7}'\} \\ \mathcal{A}_{5} & \sim & \{A_{1}B_{1}A_{2}, A_{2}B_{2}+\} \\ \mathcal{A}_{6} & \sim & \{D_{2}E_{1}D_{3}, D_{3}E_{2}D_{4}\} \\ \mathcal{A}_{7} & \sim & \{G_{1}, G_{2}\} \\ \mathcal{A}_{8} & \sim & \{I_{1}, I_{2}\} \end{array}$$

In particular, all seven B'-parts (truncated B-parts) are represented by cluster  $A_4$ , whereas  $A_3$  contains five of the seven B-parts. The missing and truncated B-parts can be explained as in the Beethoven example of Fig. 13 (b). Cluster  $\mathcal{A}_1$  reveals the similarity of the three C-parts, which are enclosed between the *B*- and *B'*-parts known from  $A_3$  and  $A_4$ . The A-parts, an opening motif, have a duration of less than 8 seconds-too short to be recognized by our algorithm as a separate cluster. Due to the close harmonic relationship of the A-parts with the tails of the B-parts and the heads of the Cparts it is hard to exactly determine the boundaries of these parts. This leads to clusters such as  $A_2$  and  $A_5$ , whose segments enclose several parts or only fragments of some parts (indicated by the + sign). Furthermore, the segments of cluster  $\mathcal{A}_6$  enclose several musical parts. Due to the overlap in  $D_3$ , one can derive the similarity of  $D_2$ ,  $D_3$ , and  $D_4$  as well as the similarity of  $E_1$  and  $E_2$ . The *D*- and *E*-parts are too short (less than 10 seconds) to be detected as separate clusters. This also explains the undetected part  $D_1$ . Finally, the clusters  $A_7$  and  $A_8$  correctly represent the repetitions of the G- and I-parts, respectively.

Another complex example, in particular with respect to the occurring variations, is Ravel's Bolero, which has the musical form  $D_1D_2D_3D_4A_9B_9C$  with  $D_k =$  $A_{2k-1}A_{2k}B_{2k-1}B_{2k}$  for  $1 \le k \le 4$ . The piece repeats two tunes (corresponding to the A- and B-parts) over and over again, each time played in a different instrumentation including flute, clarinet, bassoon, saxophone, trumpet, strings, and culminating in the full orchestra. Furthermore, the volume gradually grows from quiet pianissimo to a vehement fortissimo. Note that playing an instrument in piano or in fortissimo not only makes a difference in volume but also in the relative energy distribution within the chroma bands, which is due to effects such as noise, vibration, and reverberation. Nevertheless, the CENS features absorb most of the resulting variations. The extracted clusters represent the global structure up to a few missing segments, see Fig. 14 (b). In particular, the cluster  $\mathcal{A}_3 \sim \{A_k - | 1 \leq k \leq 9\}$  correctly identifies all nine A-parts in a slightly truncated form (indicated by the - sign). Note that the truncation may result from Step (2) (merging step) of Sect. 5, where path inconsistencies are ironed out by segment intersections. The cluster  $A_4$  correctly identifies the full-size A-parts with only part  $A_4$  missing. Here, an additional transitivity step might have helped to perfectly identify all nine A-parts in full length. The similarity of the *B*-parts is reflected by  $A_5$ , where only part  $B_9$ is missing. All other clusters reflect superordinate similarity relations (e.g.,  $A_1 \sim \{A_3A_4B_3, A_5A_6B_5, A_7A_8B_7\}$  or  $\mathcal{A}_2 = \{D_3 +, D_4 +\}$ ), or similarity relations of smaller fragments.

For other pieces of music—we manually analyzed the results for about 100 pieces—our structure analysis algorithm typically performs as indicated by the above examples and the global repetitive structure can be recovered to a high degree. We summarize some typical problems associated with the extracted similarity clusters. Firstly, some clusters consist of segments that only correspond to fragments or truncated versions of musical parts. Note that this problem is not only due to algorithmic reasons such as the inconsistencies stemming from inaccurate path relations but also due to musical reasons such as extreme variations in tails of musical parts. Secondly, the set of extracted clusters is sometimes redundant as in the case of the Bolero-some clusters almost coincide while differing only by a missing part and by a slight shift and length difference of their respective segments. Here, a higher degree of transitivity and a more involved merging step in Sect. 5 could help to improve the overall result. (Due to the inconsistencies, however, a higher degree of transitivity may also degrade the result in other cases.) Thirdly, the global structure is sometimes not given explicitly but is somehow hidden in the clusters. For example, the similarity of the B-parts in the Chopin example results from "subtracting" the segments corresponding to the A-parts given by  $A_2$  from the segments of  $A_1$ . Or, in the Mendelssohn example, the similarity of the *D*- and *E*-parts can be derived from cluster  $A_6$  by exploiting the overlap of the segments in a subsegment corresponding to part  $D_3$ . It seems promising to exploit such overlap relations in combination with a subtraction strategy to further improve the cluster structure. Furthermore, we expect an additional improvement in expressing the global structure by means of some hierarchical approach as discussed in Sect. 7.

#### 6.2. Running Time Behavior

In this section, we discuss the running time behavior of the MATLAB implementation of our structure analysis algorithm. Tests were run on an Intel Pentium IV, 3.6 GHz, with 2 GByte RAM under Windows 2000. Table 2 shows the running times for several pieces sorted by duration.

The first step of our algorithm consists of the extraction of robust audio features, see Sect. 2. The running time to compute the CENS feature sequence is linear in the duration of the audio file under consideration-in our tests roughly one third of the duration of the piece, see the third column of Table 2. Here, the decomposition of the audio signal into the 88 frequency bands as described in Sect. 2.1 constitutes the bottleneck of the feature extraction, consuming far more than 99% of the entire running time. The subsequent computations to derive the CENS features from the filter subbands only take a fraction of a second even for long pieces such as Ravel's Bolero. In view of our experiments, we computed the chroma features of Sect. 2.1 at a resolution of 10 Hz for each piece in our music database and stored them on hard disk, making them available for the subsequent steps irrespective of the parameter choice made in Sects. 4 and 5.

The time and space complexity to compute a selfsimilarity matrix S is quadratic in the length N of the feature sequence. This makes the usage of such matrices infeasible for large N. Here, our strategy is to use coarse CENS features, which not only introduces a high degree of robustness towards admissible variations but also keeps the feature resolution low. In the above experiments, we used CENS[41, 10]-

Piece	Length	CENS	$S_{16}^{\min}[41, 10]$	Path Extr.	#(paths)	Clustering
Chopin, "Tristesse", Fig. 13 (a)	173.1	54.6	0.20	0.06	3	0.17
Gaynor, "I will survive", Fig. 13 (c)	200.0	63.0	0.25	0.16	24	0.33
Brahms, "Hungarian Dance", Fig. 1	204.1	64.3	0.31	0.09	7	0.19
Shostakovich, "Waltz", Fig. 2	223.6	70.5	0.34	0.09	6	0.20
Beethoven, "Pathetique 2 <sup>nd</sup> ", Fig. 13 (b)	320.0	100.8	0.66	0.15	9	0.21
Mendelssohn, "Wedding March", Fig. 14 (a)	336.6	105.7	0.70	0.27	17	0.27
Schubert, "Unfinished 1 <sup>st</sup> " Fig. 15 (a)	900.0	282.1	4.40	0.85	10	0.21
Ravel, "Bolero", Fig. 14 (b)	901.0	282.7	4.36	5.53	71	1.05
$2 \times$ "Bolero"	1802.0		17.06	84.05	279	9.81
$3 \times$ "Bolero"	2703.0		37.91	422.69	643	97.94

**Table 2.** Running time behavior of the overall structure analysis algorithm. All time durations are measured in seconds. The columns indicate the respective piece of music, the duration of the piece, the running time to compute the CENS features (Sect. 2), the running time to compute the self-similarity matrix (Sect. 3), the running time for the path extraction (Sect. 4), the number of extracted paths, and the running time for the clustering algorithm (Sect. 5).

features with a sampling rate of 1 Hz. Furthermore, incorporating the desired invariances into the features itself allows us to use a local distance measure based on the inner product that can be evaluated by a computationally inexpensive algorithm. This affords an efficient computation of S even for long pieces of up to 45 minutes of duration, see the fourth column of Table 2. For example, in case of the Bolero it took 4.36 seconds to compute  $S_{16}^{\min}[41, 10]$  from a feature sequence of length N = 901, corresponding to 15 minutes of audio. Tripling the length N by using a threefold concatenation of the Bolero results in a running time of 37.9 seconds, showing an increase by a factor of nine.

The running time for the path extraction algorithm as described in Sect. 4 mainly depends on the structure of the selfsimilarity matrix below the threshold  $C_{\rm ad}$  (rather than on the size of the matrix), see the fifth column of Table 2. Here, crucial parameters are the number as well as the lengths of the path candidates to be extracted, which influences the running time in a linear fashion. Even for long pieces with a very rich path structure—as is the case for the Bolero—the running time of the path extraction is only a couple of seconds.

Finally, the running time of the clustering algorithm of Sect. 5 is negligible, see the last column of Table 2. Only for a very large (and practically irrelevant) number of paths, the running time seems to increase significantly.

Basically, the overall performance of the structure analysis algorithm depends on the feature extraction step, which depends linearly on the input size.

#### 6.3. Modulation

It is often the case, in particular for classical music, that certain musical parts are repeated in another key. For example, the second theme in the exposition of a sonata is often repeated in the recapitulation transposed by a fifth (i. e., shifted by seven semitones upwards). To account for such modulations, we have adopted the idea of Goto [8], which is based on the observation that the twelve cyclic shifts of a 12-dimensional chroma vector naturally correspond to the twelve possible modulations. In [8], similarity clusters (called line segment groups) are computed for all twelve modulations separately, which are then suitably merged in a postprocessing step. In contrast to this, we incorporate all modulations into a single self-similarity matrix, which then allows to perform a singly joint path extraction and clustering step only. The details of the modulation procedure are as follows. Let  $\sigma : \mathbb{R}^{12} \to \mathbb{R}^{12}$  denote the *cyclic shift* defined by

$$\sigma((v_1, v_2, \dots, v_{12})^{\top}) := (v_2, \dots, v_{12}, v_1)^{\top}$$
(10)

for  $\vec{v} := (v_1, \dots, v_{12})^\top \in \mathbb{R}^{12}$ . Then, for a given audio data stream with CENS feature sequence  $\vec{V} := (\vec{v}^1, \vec{v}^2, \dots, \vec{v}^N)$ , the *i*-modulated self-similarity matrix  $\sigma^i(S)$  is defined by

$$\sigma^{i}(\mathcal{S})(n,m) := d(\vec{v}^{n}, \sigma^{i}(\vec{v}^{m})), \tag{11}$$

 $1 \leq n,m \leq N$ .  $\sigma^i(S)$  describes the similarity relations between the original audio data stream and the audio data stream modulated by *i* semitones,  $i \in \mathbb{Z}$ . Obviously, one has  $\sigma^{12}(S) = S$ . Taking the minimum over all twelve modulations, we obtain the *modulated self-similarity matrix*  $\sigma^{\min}(S)$ defined by

$$\sigma^{\min}(\mathcal{S})(n,m) := \min_{i \in [0:11]} \left( \sigma^i(\mathcal{S})(n,m) \right).$$
(12)

Furthermore, we store the minimizing shift indices in an additional N-square matrix  $\mathcal{I}$ :

$$\mathcal{I}(n,m) := \operatorname{argmin}_{i \in [0:11]} \Big( \sigma^i(\mathcal{S})(n,m) \Big).$$
(13)

Analogously, one defines  $\sigma^{\min}(\mathcal{S}_{L}^{\min}[w,q])$ . Now, replacing the self-similarity matrix by its modulated version one can proceed with the structure analysis as described in Sect. 4 and Sect. 5. The only difference is that in Step (1) of the



**Fig. 15.** (a) Zager & Evans, "In the year 2525". Left: S with the resulting similarity clusters. Right:  $\sigma^{\min}(S)$  with the resulting similarity clusters. The parameters are fixed as described in Sect. 6.1. (b) Schubert, "Unfinished", first movement, D 759, conduced by Abbado. Left and right part are analogous to (a).

path extension (Sect. 4) one has to ensure that each path  $P = (p_1, p_2, \ldots, p_K)$  consists of links exhibiting the same modulation index:  $\mathcal{I}(p_1) = \mathcal{I}(p_2) = \ldots = \mathcal{I}(p_K)$ .

We illustrate this procedure by means of two examples. The song "In the year 2525" by Zager & Evans is of the musical form  $AB_1^0B_2^0B_3^0B_4^0CB_5^1B_6^1DB_7^2EB_8^2F$ , where the chorus, the *B*-part, is repeated 8 times. Here,  $B_5^1$  and  $B_6^1$  are modulations by one semitone and  $B_7^2$  and  $B_8^2$  are modulations of the parts  $B_1^0$  to  $B_4^0$  by two semitones upwards. Fig. 15 (a) shows the similarity clusters derived from the structure analysis based on  $S = S_{16}^{\min}[41, 10]$ . Note that the modulated parts are separated into different clusters corresponding to  $A_1 \sim \{B_1^0, B_2^0, B_3^0, B_4^0\}$ ,  $A_2 \sim \{B_5^1, B_6^1\}$ , and  $A_3 \sim \{B_7^2, B_8^2\}$ . In contrast, the analysis based on  $\sigma^{\min}(S)$  leads to a cluster  $A_1$  corresponding to all eight *B*-parts.

As a second example, we consider an Abbado recording of the first movement of Schubert's "Unfinished". This piece, which is composed in the sonata form, has the rough musical form  $A_1^0 B_1^0 C_1^0 A_2^0 B_2^0 C_2^0 D \tilde{A}_3 B_3^7 C_3^4 E$ , where  $A_1^0 B_1^0 C_1^0$ corresponds to the exposition,  $A_2^0 B_2^0 C_2^0$  to the repetition of the exposition, D to the development,  $A_3 B_3^7 C_3^4$  to the recapitulation, and E to the coda. Note that the  $B_1^0$ -part of the exposition is repeated up a fifth as  $B_3^7$  (shifted by 7 semitones upwards) and the  $C_1^0$ -part is repeated up a third as  $C_3^4$ (shifted by 4 semitones upwards). Furthermore, the  $A_1^0$ -part is repeated as  $\hat{A}_3$ , however in form of a multilevel transition from the tonic to the dominant. Again the structure is revealed by the analysis based on  $\sigma^{\min}(\mathcal{S})$ , where one has, among others, the correspondences  $\mathcal{A}_1 \sim \{A_1^0 B_1^0 C_1^0, A_2^0 B_2^0 C_2^0\},\$  $\mathcal{A}_2 \sim \{B_1^0, B_2^0, B_3^7\}$  and  $\mathcal{A}_3 \sim \{C_1^0, C_2^0, C_3^4\}$ . The other clusters correspond to further structures on a finer level.

Finally, since the modulated similarity matrix  $\sigma^{\min}(S)$  is derived from the twelve *i*-modulated matrices  $\sigma^i(S)$ ,  $i \in [0:$ 11], the resulting running time to compute  $\sigma^{\min}(S)$  is roughly twelve times longer than the time to compute S. For example, it took 51.4 seconds to compute  $\sigma^{\min}(S)$  for the Schubert's "Unfinished" as opposed to 4.4 seconds needed to compute  $\sigma(S)$ , cf. Table 2.

#### 7. CONCLUSIONS AND FUTURE WORK

In this paper, we have described a robust and efficient algorithm that extracts the repetitive structure of an audio recording. As opposed to previous methods, our approach is robust to significant variations in the repetitions concerning instrumentation, execution of note groups, dynamics, articulation, modulation, and tempo. For the first time, detailed experiments have been conducted for a wide range of Western classical music. The results show that the extracted audio structures often closely correspond to the musical form of the underlying piece, even though no a priori knowledge of the music structure has been used. In our approach, we converted the audio signal into a sequence of coarse, harmony-related CENS features. Such features are well suited to characterize pieces of Western classical music, which often exhibit prominent harmonic progressions. Furthermore, instead of relying on complicated and delicate path extraction algorithms, we suggested a different approach by taking care of local variations at the feature and similarity measure levels. This way we improved the path structure of the self-similarity matrix, which then allowed for an efficient robust path extraction.

To obtain a more comprehensive representation of audio structure, obvious extensions of this work consist of combining harmony-based features with other types of features describing the rhythm, dynamics, or timbre of music. Another extension regards the hierarchical nature of music. So far, we looked in our analysis for repetitions at a global to intermediary level corresponding to segments of at least 15-20 seconds of duration. As has also been noted by other researches, music structure can often be expressed in a hierarchical manner, starting with the coarse musical form and ascending to finer substructures such as repeating themes and motifs. Here, one typically allows larger variations in the analysis of coarser structures than in the analysis of finer structures. For future work, we suggest a hierarchical approach to structure analysis by simultaneously computing and combining structural information at various temporal resolutions. To this end,



**Fig. 16.** Similarity clusters for the Shostakovich example of Fig. 2 resulting from a structure analysis using (a)  $S_{16}^{\min}[41, 10]$ , (b)  $S_{16}^{\min}[21, 5]$ , and (c)  $S_{16}[9, 2]$ .

we conducted first experiments based on the self-similarity matrices  $S_{16}^{\min}[41, 10]$ ,  $S_{16}^{\min}[21, 5]$ , and  $S_{16}[9, 2]$  with corresponding feature resolutions of 1 Hz, 2 Hz, and 5 Hz, respectively. The resulting similarity clusters are shown in Fig. 16 for the Shostakovich example. Note that the musical form  $A_1A_2BC_1C_2A_3A_4D$  has been correctly identified at the low resolution level, see (a). Increasing the feature resolution has two effects: On the one hand, finer repetitive substructures are revealed, as illustrated by (c). On the other hand, the algorithm becomes more sensitive towards local variations, resulting in fragmentation and incompleteness of the coarser structures. One very difficult problem to be solved is to integrate the extracted similarity relations at all resolutions into a single hierarchical model that best describes the musical structure.

### Acknowledgement

We would like to thank Michael Clausen and Tido Röder for helpful discussions and comments.

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